

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	10/829,399
Filing Date	April 22, 2004
First Named Inventor	Han-gyuu Kim
Examiner Name	Unassigned
Group Art Unit	2153
Total Number of Pages in This Submission	8
Attorney Docket No.	82250X/34255-2(473628-26)

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Declaration (executed), 2 pages	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Copy of Notice of Missing Parts, 2 pages
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Check Nos. 6686 for \$450.00; 6687 for \$86.00
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, No. of CD(s) _____	Return receipt postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

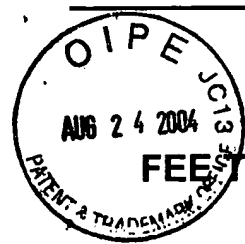
Firm or Individual name	Craig Hemenway, Reg. No. 44,759 For: R. Michael Ananian, Reg. No. 36,070 DORSEY & WHITNEY LLP 4 Embarcadero Center, Suite 3400 San Francisco, CA 94111-4187 Telephone: 415 781 1989	Customer Number 32940
Signature		
Date	August 20, 2004	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

8/20/04

Typed or printed name	Claudia Galik		
Signature		Date	August 20, 2004



**APPLICATION
FEE TRANSMITTAL SHEET
(FY 2004)**

Complete if Known

Application No.	10/829,399
Filing Date	April 22, 2004
First Named Inventor	Han-gyoo Kim
Group Art Unit	2153
Examiner Name	Unassigned
Atty. Docket Number	82250X/34255/US/2(473628-26)

METHOD OF PAYMENT (Check One)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 50-2319

Deposit Account Name: DORSEY & WHITNEY LLP

- ☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description
160	80	<input type="checkbox"/> Provisional Filing Fee
770	385	<input checked="" type="checkbox"/> Utility Filing Fee
340	170	<input type="checkbox"/> Design Filing Fee
770	385	<input type="checkbox"/> Reissue Filing Fee

Subtotal (1) 385.00

2. EXTRA* CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below*	Fee Paid
Total	19	-	20	= 0 x	=
Indep.	5	-	3	= 2 x 43	= 86.00
Multiple Dependent Claims				= x	=

Subtotal (2) 86.00

***Calculation of Extra Claim Fees**

Large Entity Fee	Small Entity Fee	Fee Description
18	9	Claims in excess of 20
86	43	Independent claims in excess of 3
290	145	Multiple dependent Claim
84	42	Reissue independent claims over original patent
18	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late nonprovisional filing fee or oath	65.00
180	180	Submission of IDS	
40	40	Recording each patent assignment per property (times number of properties)	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1,480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
770	385	Submission After Final 1.129	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
110	55	Terminal Disclaimer Fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
1,330	665	Utility/Reissue Issue Fee (including advance copies)	
480	240	Design Issue Fee (inc. advance copies)	
770	385	Request for Continued Examination (RCE)	
300	300	Publication fee for early, voluntary, or normal publication	
300	300	Publication fee for re-publication	
110	55	Petition to Revive - unavoidable	
1,330	665	Petition to Revive - unintentional	

OTHER FEE (specify):

Subtotal (3) 65.00

Total Amount of Payment: 536.00

Submitted by:

Name: S. Craig Hemenway
For: R. Michael Ananian

Reg. No.: 44,759
Reg. No. 36,070

Telephone: 303-629-3450

DORSEY & WHITNEY LLP

Four Embarcadero Center, Suite 3400
San Francisco, California 94111-4187

Customer Number 32940

Signature: 

Date: August 20, 2004



PATENT

Attorney Docket No. 82250X/34255-2/(4736528-26)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<i>In re</i> application of:)	Examiner: Not Assigned
)	
HAN-GYOO KIM)	Group Art Unit: 2153
)	
Serial No.: 10/829,399)	
)	
Filed: April 22, 2004)	
)	
For: Scalable Server Architecture Based)	
On Asymmetric 3-Way TCP)	
_____)	

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 20, 2004.

Signed: Claudia Galik
Claudia Galik

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION
FILED UNDER 37 CFR 1.53(b)
*Filing Date Granted***

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed June 30, 2004, enclosed are the following documents:

- (1) A copy of the Notice to File Missing Parts of Application;
- (2) Declaration and Power of Attorney for Patent Application;
- (3) Checks in the amount of \$450.00 and \$86.00 to cover the filing fee, extra claims and

surcharge for filing the Declaration and paying
the filing fee on a date later than the filing
date;

(4) Return receipt postcard.

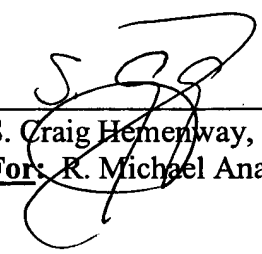
The Commissioner is hereby authorized to charge any additional fees,
including extension fees, or credit any overpayment to Deposit Account No. 50-2319
(Order No. a-34255-2/RMA(473628-26)).

Respectfully submitted,

DORSEY & WHITNEY LLP

Customer No. 32940
Four Embarcadero Center, Suite 3400
San Francisco, CA 94111-4187
Telephone: (415) 781-1989

1077641v1



S. Craig Hemenway, Reg. No. 44,759
For: R. Michael Ananian, Reg. No. 36,070

IFW



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/829,399	04/22/2004	Han-gyoo Kim	82250X

Harold L. Novick
 NATH & ASSOCIATES PLLC
 6th Floor
 1030 15th Street, N.W.
 Washington, DC 20005



CONFIRMATION NO. 5407
 FORMALITIES LETTER



OC000000013098831

Date Mailed: 06/30/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$86 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

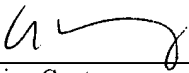
Total additional fee(s) required for this application is \$536 for a Small Entity

- | | | |
|--|---------------------------------------|-----------|
| • \$385 Statutory basic filing fee. | 08/25/2004 BSAYAS11 00000018 10829399 | |
| • \$65 Late oath or declaration Surcharge. | 01 FC:2001 | 385.00 OP |
| | 02 FC:2051 | 65.00 OP |
| | 03 FC:2201 | 86.00 OP |
| • Total additional claim fee(s) for this application is \$86 | | |

- \$86 for 2 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE